

SPECIES-STRAIN DEPENDENCE OF STEREOSELECTIVITY IN MICROBIAL OXIDATION OF THIOETHERS

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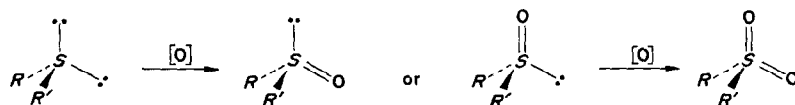
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Abstract—The stereoselectivity in the aerobic, microbial oxidation of thioethers and sulfoxides is shown to be dependent on species and strain. A strain of *Aspergillus niger* was used to obtain an optically active dialkyl sulfoxide.

INTRODUCTION

PRODUCTS from microbial metabolic processes¹ in which oxygen atom-transfer to the substrate occurs, include phenols, alcohols, epoxides, esters and sulfoxides. The last named compounds are usually obtained in a form showing optical activity when unsymmetrical thioethers, *RSR'*, are incubated in the presence of a strain of *Aspergillus niger*.²

The optical purities of the sulfoxides obtained by this method fall in the range of 4–100%, the value depending on, (a) the structure of the thioether being oxidized, and (b) the extent of asymmetric oxidation occurring in the accompanying reaction wherein part of the initially formed sulfoxide is converted into optically inactive sulphone. In the latter process preferential oxidation of one enantiomer of the sulfoxide can take place, and this has been shown to affect the optical purity of sulfoxide recovered after incubation of thioether with *A. niger* to a significant degree (75% optical purity) in two examples.³ These studies have been extended in the present work, in which it has been established that the stereopreference in the oxidation of thioethers and sulfoxides depends on the strain and species of fungus used.



¹ FONKEN, G. S. and JOHNSON, R. A. (1972) in *Chemical Oxidations with Microorganisms*, Marcel Dekker, New York.

² AURET, B. J., BOYD, D. R., HENBEST, H. B. and ROSS, S. (1968) *J. Chem. Soc. C*, 2371.

³ AURET, B. J., BOYD, D. R. and HENBEST, H. B. (1968) *J. Chem. Soc. C*, 2374.

RESULTS AND DISCUSSION

Dependence of stereoselectivity on species

In the microbial class, *Phycomycetes* several strains of the genera *Rhizopus* and *Aspergillus* (1-7, Table 1) were found capable of promoting the oxidation of thioethers. The combined yield of sulfoxide and sulphone isolated after incubation under standard experimental conditions was in the range 3-71%. While *R. stolonifer* has previously been reported to be effective for the oxidation of a methyl thio steroid⁴ no earlier report of a similar type of transformation by *R. arrhizus* was found. The range of optical purity of product obtained from the *Rhizopus* species was relatively low (5-25%) in comparison with that found with various strains (3-7) of *A. niger* (>87%). These results demonstrate that by careful choice of fungal species a higher degree of enantiomeric purity may be obtained.

TABLE 1. OXIDATION OF THIOETHERS BY VARIOUS FUNGI

Fungus	Products from PhSCH ₂ Ph				Products from ^t BuSCH ₂ Ph			
	Yield	Sulfoxide Optical purity	Configuration	Sulphone Yield	Yield	Sulfoxide Optical purity	Configuration	Sulphone Yield
1 <i>Rhizopus arrhizus</i> ATCC 11145	20	25	S	S	10	10	S	
2 <i>R. stolonifer</i> ATCC 6277 b	3	20	R	1	2	S	S	<1
3 <i>Aspergillus niger</i> NRRL 337 (subcultured in Belfast over 1 yr)	9.55 9.17	5.4 5.9	S S*	3.10	24.61	77.71	S	15.10
4 <i>A. niger</i> NRRL 337 (subcultured in Zagreb over 9 yr)	17.5	55.66	R*		48	6	S	10
5 <i>A. niger</i> NRRL 582	0	86	R	20	27	11	S	S
6 <i>A. niger</i> NRRL 447	11	57	R*		46	22	S	15
7 <i>A. niger</i> ATCC 9142	0			15	10	S	S	6

* Results of Zagreb workers

Aromatic compounds are often metabolized to phenols in fungi and in animals. A similar pattern of products is formed in either type of organism and a similar mechanism (involving mono-oxygenase enzymes) probably operates in each case.⁵ However, the stereoselectivity of the oxidation of thioethers to sulfoxides seems, in general, to be lower in animals (using rat liver microsomes) than in fungal processes. Kexel and Schmidt⁶ have shown that liver microsomal oxidation of the thioether, MeSPh, gives only a low preference for the formation of the (*R*)-sulfoxide and we have found that the thioether, *p*-MeC₆H₄ S CH₂Ph behaves similarly under these conditions: a sulfoxide only slightly enriched (1.3%) in the (*R*)-enantiomer being obtained. In contrast, fungal oxidation of this thioether gives sulfoxide of 65 or 82% optical purity: excess of (*R*) enantiomer (see Table 2).

Dependence on stereoselectivity on strain

A stereopreference for the oxidation of *t*-butyl benzyl thioether ^tBuSCH₂Ph to the

⁴ DODSON, R. M. and SOLLMAN, P. B. U.S.P. 2999101 (1962) *Chem. Abstr.* **56**, 2488.

⁵ AURET, B. J., BOYD, D. R., ROBINSON, P. M., WATSON, C. G., DALY, J. W. and JIRINA, D. M. (1971) *Chem. Commun.* 1585.

⁶ KEXEL, H. and SCHMIDT, H. L. (1972) *Biochem. Pharmacol.* **21**, 1009.

TABLE 2 OXIDATION OF THIOETHERS AND SULPHOXIDES BY DIFFERENT STRAINS OF *Aspergillus niger*

Thioether	Fungus	Products from thioether oxidation				Products from corresponding racemic sulphoxide oxidation			
		Yield	Sulphoxide Optical purity	Configuration	Sulphone Yield	Recovered yield	Sulphoxide Optical purity	Configuration	Sulphone Yield
PhSCH ₂ Ph	3	9	5	S	3	43	5	R	14
	5	30	86	R	20	26	75	R	56
t-BuSCH ₂ Ph	3	24	77	S	15	70	0	R,S	5
	5	27	10	S	5	75	2	R	4
<i>p</i> -Me C ₆ H ₄ S CH ₂ Ph	3	19	82	R	4	32	7	R	32
	5	30	65	R	7	12	45	R	14
<i>p</i> -Me C ₆ H ₄ S Me	3	7	87	R	19	57	30	R	8
	5	36	67	R	4	66	11	R	20
Me S CH ₂ Ph	3	18	46	R	18	35	0	R,S	20
	5	23	4	R	4	12	95	S	57

* Results obtained using 3 (*A. niger* NRRL 337, Belfast) are taken from previously published data^{2,3} and are compared with those presently obtained using 5 (*A. niger* NRRL 382)

(*S*)-enantiomer of *t*-butyl benzyl sulfoxide was observed for all strains of *A. niger* (3–7, Table 1), however, a wide range of optical purity was found among the products (6–77%). The greatest difference (6% compared with 77%) was unexpectedly found between two specimens (3 and 4) of the same strain, NRRL 337, both specimens having originated from the same culture collection (ARS Culture Collection, Northern Utilization Research and Development Division, Agricultural Research Service, U S Dept of Agriculture). The behaviour of phenyl benzyl thioether, PhSCH₂Ph, towards these two specimens was also different, the Zagreb subculture of the strain giving a preponderance of the (*R*)-sulfoxide whereas a slight preference for the formation of (*S*)-sulfoxide was observed with the Belfast variety. The only difference between these two subcultures of *A. niger* appears to be in the time interval during which the organisms were subcultured. Form (4) was subcultured in Zagreb over a period of 9 yr, whereas (3) was used in Belfast within 1 yr of receipt. Oxidation of the thioether, PhSCH₂Ph, occurred in the same (*S*)-direction when a sample of strain (3) was sent to Zagreb for oxidation experiments. The differences in the optical purity of products from strains (3) and (4) may be due to mutation and change in the monooxygenase activity especially over the 9-year period. Thus, in trying to reproduce microbial transformation results reported in the literature, it may sometimes be necessary to obtain slants and detailed culture conditions from the authors of the report. However, the reproducibility of procedure and results that is possible in different laboratories (Zagreb and Belfast) was demonstrated with another strain of *A. niger*, NRRL 382. Oxidation of phenyl benzyl thioether gave (*R*)-sulfoxide of 86–87% optical purity in each location.

Asymmetric metabolism of sulfoxides

As mentioned earlier the optical purity of a sulfoxide obtained by microbial oxidation of a thioether can also depend on the selectivity in its partial, concomitant metabolism to sulphone. However, from previous work with fungus (3) (NRRL 337), this factor was a relatively minor one in the oxidations of the two thioethers chosen for study of species and strain dependence (Table 1). The results for five thioethers and sulfoxides, using NRRL 337, are summarized in Table 2, they show that the optical purities of sulfoxides isolated after partial oxidation of racemic sulfoxide range from 0 to 7% with the exception of the sulfoxide, *p*-Me C₆H₄ S Me, where a 30% optically pure product was isolated. However, some greater enrichments were obtained using fungus (5) (NRRL 382),

here the optical purities of the five recovered sulfoxides were 2, 11, 45, 75 and 95% (Table 2), the last entry being the best example of an enzymatic metabolic resolution of a sulfoxide to date. It is of interest that the reverse reaction, preferential reduction of one sulfoxide enantiomer, can also occur in the *A. niger* system,⁶ and this is yet another factor that requires to be taken into account in further quantitative studies.

An optically active dialkyl sulfoxide from microbial oxidation

Dialkyl sulfoxides are difficult to obtain in a high state of optical purity by chemical techniques due to the instability and low m.p.s of intermediate sulphinates. One dialkyl sulfoxide (*n*-butyl methyl sulfoxide) has, however, been resolved.⁷ In an attempt to apply the microbial technique to this problem a range of strains of *A. niger* was grown in the presence of *n*-butyl methyl thioether. One strain successfully produced (*R*)-sulfoxide in low yield (~1%) with an optical purity of 26%. The relatively low yield was probably a result of growth inhibition by the high concentration of substrate required to isolate a sufficient quantity of the water-soluble product. Nevertheless this experiment demonstrates that this technique may also be successfully applied to simple thioethers.

The present and previous results^{2,3} show that microbial procedures can be used to obtain sulfoxides of high (82–99%) optical purity (*p*-Me C₆H₄ SO R where R = CH₂Ph and Me, PhCH₂ SO R where R = ^tBu, Ph and Me) and 100% optical purity (*p*-Me C₆H₄ SO R where R = ^tBu and *p*-Me C₆H₄CH₂). Most of the sulfoxides in the 82–99% optical purity range can be recrystallized to 100% purity.

EXPERIMENTAL

Optical rotations were determined at 659 nm using both a visual and a Perkin-Elmer 141 automatic polarimeter. Fungi were originally obtained from the American Type Culture Collection (ATCC) 12301 Parklawn Drive, Rockville, Maryland 20852 or the Northern Utilization Research and Development Division (NRRL) Agricultural Research Service, U.S. Department of Agriculture, Peoria, Illinois. Thioethers, sulfoxides and sulphones were synthesized and characterized as reported previously.^{2,3}

Liver microsomal sulfoxidation of benzyl *p*-tolyl sulphide was carried out at pH 8 using a crude microsomal preparation.⁸ The product sulfoxide was isolated and purified by preparative TLC (silica-gel).

Microbial oxidations were carried out using Czapek Dox liquid medium and a platform shaker at a temp. of 28–30 °C, in the manner described previously.^{2,3} The procedure was modified in the oxidation of *n*-butyl methyl sulphide where a high concentration of substrate was used, the sulphide/sulfoxide product mixture was separated by cation exchange chromatography.

⁷ MISLOW K., GREEN M. M., LAUER P., MIFILLO J. T., SIMMONS T. and TERNAY A. L. (1965) *J. Am. Chem. Soc.* **87**, 1958.

⁸ JERINA D., GUROFF G. and DALY J. (1968) *Arch. Biochem. Biophys.* **124**, 612.